JC17 Rec'd PCT/PTO 2 0 JUN 2001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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La re Application of: PISENBACH, Lea et al.	1	Application Division
SENBACH, Lea et al.	ì	ATTN: PCT
Serial No.: 09/744,804)	Washington, D.C.
IA Filing Date: 29 Jul 1999	-1	Confirmation No.
For: TUMOE ASSOCIATED ANTIGEN	7	

LATE SURMISSION OF DECLARATION AND/OR TRANSLATION IN APPLICATION FILED UNDER 35 USG §371

HON. COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20031

Sir:

The present communication is in response to the "NOTIFICATION OF MISSING REQUIREMENTS" dated April 30, 2001.
[XX] Attached hereto are four executed oaths or declarations in compliance with 37 C.F.F. 1.63, identifying the present application by title, PCT information and priority information.
[XX] Applicant claims small entity status. See CR 1.27.
[XX] A response to the notification to comply with Sequence Listing Requirements.
[] Freliminary Amendment and Statements in Support of Filing and Submissions in
Accordance with 37 C.F.R. §1.821-1.825, Sequence Listing (hardcopy), and computer-readable form of Sequence Listing.
An Information Disclosure Statement with .449 and references is also attached.
[] An exact English language translation of the PCT application as originally filed.
[Surcharge for late filing of English translation \$ 130.00
] Surcharge for late filing of the Declaration was paid on (Check)
Surcharge for late filing of the Declaration in the amount of:
Small Entity Other than Small Entity
{] \$65.00
1 It is hereby petitioned for an extension of time in accordance with 37 C.F.R.
$1.136(\mathrm{a})$. The appropriate fee required by 37 C.F.E. 1.17 is calculated as show
below:
Small Entity Other Than Small Entity
Response Filed Within Response Filed Within
[] First - \$ 55.00 [] First - \$ 110.00
[] Second - \$ 195.00 [] Second + \$ 290.00
[] Third - \$ 445.00 [] Third - \$ 890.00
[] Fourth - \$ 695.00 [] Fourth - \$1,390.00
Month After Time Period Set Month After Time Period Set
[XX] Conditional Petition for Extension of Time:

this be considered a petition therefor. Credit Card Payment Form, PTO-2038, authorizing payment the amount of $\,$ s enclosed to gover the above fees.

[XX] The Commissioner is hereby authorized and requested to charge any additional fees which may be required in connection with this application or credit any everpayment to Deposit Account No. 92-4635. This authorization and request is not limited to payment of all fees associated with this communication, including any Extension of Time fee, not covered by check on specific authorization, but is also intended to include all fees for the presentation of extra claims under 37 CFR $1.1\tilde{\epsilon}$ and all patent processing fees under 37 CFR 1.17 throughout the prosecution of the case. This blanket authorization does not include patent issue fees under 37 CFR 1.18.

If any extension of time for a response is required, applicant requests that

BROWDY AND NEIMARK, P.L.L.C.w

Attorneys or Applicant (s)

Roger L. Browdy

Registration No.

FLP: w

Page 1 of 2 Pages

	Original			Substitute		1	Supplementa
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Atty. Docket:

Combined Declaration for Patent Application and Power of Attorney

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; and that I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of

the subject matter which is claimed and for which a patent is sought on the invention entitled TUMOR ASSOCIATED ANTIGEN PEPTIDES AND USE OF SAME AS ANTI-TUMOR VACCINES the specification of which (check one) is attached hereto: was filed in the United States under 35 U.S.C. §111 on ______ as U.S. Appln. No. _____*; or was/will be filed in the U.S. under 35 U.S.C. \$371 by entry into the U.S. national stage of an [X]international (PCT) application, PCT/II.99/00417: filed 29 July 1999, entry requested on *: national stage application received U.S. Appln. No. _____*: \$371/\$102(e) __* (* if known) (if applicable). and was amended on tinelude dates of amendments under PCT Art. 19 and 34 it PCT. I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above; and I acknowledge the duty to disclose to the Patent and Trademark Office (PTO) all information known by me to be material to patentability as defined in 37 C.F.R. §1.56. I hereby claim foreign priority benefits under 35 U.S.C. §§ 119 and 365 of any prior foreign application(s) for patent or inventor's certificate, or prior PCT application(s) designating a country other than the U.S., listed below with the "Yes" box checked and have also identified below any such application having a filing date before that of the application on which priority is claimed: 30 July 1998 (Day Month Year Filed) 125608 (Country) (Number) (Country) (Number) Thereby claim the benefit under 35 U.S.C. §120 of any prior U.S. non-provisional application(s) or prior PCT application(s) designating the U.S. listed below, or under \$119(e) of any prior U.S. provisional applications listed below, and, insofar as the subject matter of each of the claims of this application is not disclosed in such U.S. or PCT application in the manner provided by the first paragraph of 35 U.S.C. §112. Lacknowledge the duty to disclose to the PTO all information as defined in 37 C.F.R. \$1.56(a) which occurred between the filing date of the prior application and the national filing date of this application:

(Application No.)	(Day Month Year Filed)	(Status: patented, pending, abandoned)
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(Application No.)	(Day Month Year Filed)	(Status) patented, pending, abandoned)

As a named inventor. I hereby appoint the following registered practitioners to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

All of the practitioners associated with Customer Number 001444

Direct all correspondence to the address associated with Customer Number 001444; i.e.,

BROWDY AND NEIMARK, P.L.L.C. 624 Ninth Street, N.W. Washington, D.C. 20001-5303 (202) 628-5197

Page 2 of 2 Pages		Atty. Docket
Title: TUMOR ASSO	CIATED ANTIGEN PEPTIDES AND USE OF SAME AS ANTI-TUMOR V	VACCINES
U.S. Application filed		
PCT Application filed	29 July 1999 Serial No. <u>PCT/IL99/00417</u>	

I hereby further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF FIRST INVENTOR	INVENTOR'S SIGNATURE		DATE
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Petach Tikva, Israel		Israeli	
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Matityahu FRIDKIN	popular.	\ <u></u>	1210117001
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Combined Declaration for Patent Application and Power of Attorney

As a below-named inventor, I hereby declare that:

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and sole inventor (i	f only one name is I	itizenship are as stated listed below) or an ori I for which a patent is	ginal, first and join	nt inventor (if plu		
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the specification of						
[]	U.S. Appln. No.	Inited States under 35				
[X]	international (Po	I in the U.S. under CT) application. PC*; national stage a*(* if known	CT/ <u>II.99/00417;</u> application receive	filed 29 July	1999. ent	try requested on
and was amended o				(if applicable	:)	
and was amended o	(include dates of a	imendments under PCT A	rt. 19 and 34 if PCT)	(11 0]/	,	
inventor's certificate checked and have priority is claimed:	e, or prior PCT appalso identified belo	ts under 35 U.S.C. § plication(s) designating ow any such applicati	g a country other to on having a filing	than the U.S., lis g date before tha	ited below v t of the ap	with the "Yes" box
12560 (Numb		Israel (Country)	30 July (Day Month		X YES	NO NO
(Numb		(Country)	(Day Month		I I YES	NO NO
designating the U.S subject matter of ea by the first paragrap	5. listed below, or unch of the claims of the claims of to bh of 35 U.S.C. §11	S.C. §120 of any price and set §119(e) of any price this application is not 2, I acknowledge the caling date of the prior a	rior U.S. provision disclosed in such b luty to disclose to	ial applications li U.S. or PCT appl the PTO all infort	sted below. ication in th mation as de	and, insofar as the manner provided effined in 37 C.F.R.
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(Applie	cation No.)	(Day Month Ye	ear Filed)	(Status: patent	ied, pending, a	abandoned)
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As a named inventor. I hereby appoint the following registered practitioners to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

All of the practitioners associated with Customer Number 001444

Direct all correspondence to the address associated with Customer Number 001444; i.e.,

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Page	2 of 2 Pages		Atty. Docket
Title	TUMOR ASSOCIATED ANTIGEN PEPTID	ES AND USE OF SAME AS ANTI-TUMOR '	VACCINES_
J.Š	Application filed	, Serial No	
PCT	Application filed 29 July 1999	. Serial No. PCT/IL99/00417	

I hereby further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon

ECIL MAME OF FIRST INVENTOR	EIVELTOR'S SIGNATURE		DATE
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POUT OFFICE ADDRESS			
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ALL TAY STORS MUST REALIW APPLICATION AND DECLARATION BEFORE SIGNING. ALL ALTERATIONS MUST BE INITIALED AND LATED BY ALL INVENTORS PRIOR TO EXECUTION. SO ALTERATIC SCANBE MADE AFTER THE DECLARATION IS SIGNED. ALL PAGES OF DECLARATION MUST BE SEEN BY ALL INVENTORS.

Atty. Docket:

Combined Declaration for Patent Application and Power of Attorney

As a below-named i	nventor. I hereby declare that:				
and sole inventor (i	office address and citizenship are a f only one name is listed below) or hich is claimed and for which a pa	an original, first and	joint inventor (if pl		
TUMOR ASSOCIA	TED ANTIGEN PEPTIDES AND	USE OF SAME AS A	NTI-TUMOR VAC	CINES	
the specification of	which (check one)				
[]	is attached hereto: was filed in the United States un U.S. Appln. No. *: c	•	ı	, as	
[X]	was/will be filed in the U.S. international (PCT) applicational *: national	under 35 U.S.C. §3 on, PCT/ <u>IL99/00417</u> stage application rece	filed 29 July	1999 entry requested	on
	date* (* if	`known)			
and was amended o	n	D(27: 1 - 10 - 121 CD	(if applicable	e).	
	(include dates of amendments unde	r PC 1 Art. 19 and 54 if P	. 1)		
information known I hereby claim fore inventor's certificat	d to above: and I acknowledge by me to be material to patentabili- eign priority benefits under 35 U. e, or prior PCT application(s) des also identified below any such ap-	ty as defined in 37 C.F S.C. §§ 119 and 365 signating a country off	R. §1.56. of any prior foreign than the U.S., li	gn application(s) for pater isted below with the "Yes"	nt or box
12560			July 1998	[X] []	
(Numb	er) (Country)	(Day Mo	nth Year Filed)	YES NO	
(Numb	er) (Country)	(Day Mo	nth Year Filed)	YES NO	
designating the U.S subject matter of ea by the first paragrap	benefit under 35 U.S.C. §120 of a listed below, or under §119(e) of ch of the claims of this application of of 35 U.S.C. §112. I acknowled urred between the filing date of the	f any prior U.S. provis a is not disclosed in su ge the duty to disclose	tional applications l the U.S. or PCT app to the PTO all info	listed below, and, insofar a blication in the manner prov rmation as defined in 37 C	s the
(Applic	ation No.) (Day N	Ionth Year Filed)	(Status: pater	nted, pending, abandoned)	
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Page 2 of 2 Pages	Atty. Docket
	PEPTIDES AND USE OF SAME AS ANTI-TUMOR VACCINES
U.S. Application filed	Serial No
PCT Application filed 29 July 1999	Serial No. PCT/IL99/00417

I hereby further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF FIRST INVENTOR	INVENTOR'S SIGNATURE		DATE
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FULL NAME OF FIFTH IOINT INVENTOR	INVENTOR'S SIGNATURE 1.		DATE
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FULL NAME OF SEVENTH JOINT INVENTOR	INVENTOR'S SIGNATURE		DATE
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T

Combined Declaration for Patent Application and Power of Attorney

As a below-named inventor. I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; and that I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

TUMOR ASSOCIATED ANTIGEN PEPTIDES AND USE OF SAME AS ANTI-TUMOR VACCINES

the specification of which (check one)

[]	is attached hereto;	
[]	was filed in the United States under 35 U.S.C. §111 on	as
	-	U.S. Appln. No*; or	

was/will be filed in the U.S. under 35 U.S.C. §371 by entry into the U.S. national stage of an [X] international (PCT) application, PCT/II.99/00417; filed 29 July 1999, entry requested on *; national stage application received U.S. Appln. No. _____ * (* if known)

(if applicable). and was amended on

(include dates of amendments under PCT Art. 19 and 34 if PCT)

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above; and I acknowledge the duty to disclose to the Patent and Trademark Office (PTO) all information known by me to be material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §§ 119 and 365 of any prior foreign application(s) for patent or inventor's certificate, or prior PCT application(s) designating a country other than the U.S., listed below with the "Yes" box checked and have also identified below any such application having a filing date before that of the application on which priority is claimed:

125608	Israel	30 July 1998	[X]	[]
(Number)	(Country)	(Day Month Year Filed)	YES []	NO []
(Number)	(Country)	(Day Month Year Filed)	YES	NO

I hereby claim the benefit under 35 U.S.C. §120 of any prior U.S. non-provisional application(s) or prior PCT application(s) designating the U.S. listed below, or under §119(e) of any prior U.S. provisional applications listed below, and, insofar as the subject matter of each of the claims of this application is not disclosed in such U.S. or PCT application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose to the PTO all information as defined in 37 C.F.R. §1.56(a) which occurred between the filing date of the prior application and the national filing date of this application:

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